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ATTORNEY'S DOCKET NUMBER

Express Mail No.: EV809055167US

**FORM PTO-1390** PATENT AND TRADEMARK OFFICE (REV. 7-200<del>\$</del>)

TT 588637

U.S. DEPARTMENT OF COMMERCE

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** 

U.S. APPLICATION NO. (if known, see 37CFR 1.5) To Be Assigned

INTERNATIONAL APPLICATION NO. PCT/LIS2005/003390

INTERNATIONAL FILING DATE February 4 2005

PRIORITY DATE CLAIMED February 6 2004

		NVENTION: METHODS FOR MAKING 3-O-PROTECTED MORPHINONES AND 3-O-PROTECTED MORPHINONE DIENOL CARBOXYLATES								
APP	LICA	NT(S) FOR DO/EO/US: Andreas Stumpf								
App	licant	herewith submits to the United States Designated/ Elected Office (DO/EO/US) the following items and other information:								
1.	$\boxtimes$	This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.								
2.										
3.	$\boxtimes$	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.								
4.	$\boxtimes$	The U.S. has been elected (Article 31).								
5.	$\boxtimes$	A copy of the International Application as filed (35 U.S.C. 371(c)(2))								
		a. 🔀 is attached hereto (required only if not communicated by the International Bureau).								
		b. 🛮 has been communicated by the International Bureau.								
		c. is not required, as the application was filed in the United States Receiving Office (RO/US).								
6.		An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).								
		a.  is attached hereto.								
		b.  has been previously submitted under 35 U.S.C. 154(d)(4).								
7.	$\boxtimes$	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))								
		a. are attached hereto (required only if not communicated by the International Bureau).								
		b.  have been communicated by the International Bureau.								
		c. have not been made; however, the time limit for making such amendments has NOT expired.								
		d. 🔀 have not been made and will not be made.								
8.		An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).								
9.	$\boxtimes$	An unexecuted oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).								
10.		English language translation of annexes to the International Preliminary Examination Report under PCT Art. 36 (35 U.S.C. 371(c)(5)).								
Iten	ns 11.	to 20. below concern document(s) or information included:								
11.	11.  An Information Disclosure Statement under 37 CFR 1.97 and 1.98.									
12.		An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.								
13.	$\boxtimes$	A preliminary amendment.								
14.		An Application Data Sheet under 37 CFR 1.76.								
15.		A substitute specification.								
16.		A power of attorney and/or change of address letter.								
17.		A computer-readable form of the sequence listing in accordance with PCT Rule 13ter 2 and 37 CFR 1.821- 1.825.								
18.		A second copy of the published International Application under 35 U.S.C. 154(d)(4).								
19.		A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).								
20.	Other items: 1. Notification Concerning Submission or Transmittal of Priority Document; 2. Second and Supplementary Notice Informing Applicant of Communication of International Application; 3. Notification Concerning Transmittal of Copy of International Application as Published or Republished; 4. Notification of Transmittal of the ISR and the WO of the ISA, or the Declaration; 5. ISR (and six (6) references cited therein); 6. Written Opinion of the ISA; 7. WO 2005/077957 A2 (PCT/US2005/003390)									

This collection of information is required by 37 CPR 1.414 and 1.49.1-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed from to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

US APPLICATION NO. TIL	NO.	ATTORNEY'S DOCKET NUMBER 6750-250-999										
The following fees are submitted:												
<u>—</u>	al fee	\$300.00										
	22. Examination fee											
Was the Written Opinion prepared by the ISA/USPTO or did the International  Preliminary Examination Report prepared by the USPTO indicate that all												
filed claims in this U.S. application satisfy provisions of PCT Article  33(1)-(4) (i.e., novelty, inventive step and industrial capability)?												
☐ i) Yes (\$0.00 ☑ ii) No (\$200.	\$200.00											
23. Search fee												
Did the Written C												
	report prepared b											
provisions of												
industrial capability)?												
ii) If no:												
(a) A Search Fee has been paid on the international application to the												
USPTO as an International Search Authority. (\$100.00)												
(b) An International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the												
US by the IB. (\$400.00)												
(c) All ot	ther situations (\$	500.00)		• • • • • • • • • • • • • • • • • • • •			·					
	TOTAL OF C			<u></u>			\$	\$900.00				
Additional fee fo	r specification a	nd drawings	filed in paper over 100 s filed in electronic mediu	heets (exc	luding							
each additional 5				iiit). The	100 15 <b>3250</b> 101							
	Extra Sheets	Number	of each additional 50 or (round up to a whole nur		RATE							
51 -100	0 /50	llicicor	(round up to a whole had	iioci j.	x 250.00			\$0.00				
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest								\$0.00				
claimed priority date (37 CFR 1.492 (e)).												
CLAIMS Total Claims	NUMBER 56 -		NUMBER EXTRA	NUMBER EXTRA RATE X  36 x \$50.00				\$1800.00				
Total Claims Independent Claims			4	<u> </u>	\$200.00	=		\$800.00				
<u> </u>			unlicable)					\$0.00				
☐ MULTIPLE DEPENDENT CLAIM(S) (if applicable) + 360.00  TOTAL OF ABOVE CALCULATIONS=								\$3500.00				
		- 4-				=	3	\$3300.00				
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 50%.							- \$	\$0.00				
SUBTOTAL =								\$3500.00				
Surcharge of \$130.00 for furnishing the English Translation later than 30 months from the earliest								\$0.00				
claimed priority date	(J / CFR 1.472(	*73.	т/	TAI NA	TIONAL FEE	=	\$	\$3500.00				
B 6								====				
Fee for recording the accompanied by an a	Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). 0 x \$40.00 per property											
TOTAL FEES ENCLOSED							\$	\$3500.00				
							Amount to be refunded:					
	charged:											
	e amount of		to cover the above fees is		•							
			e charge Deposit Account  A copy of this sheet is end									
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-3013. A copy of this sheet is enclosed.												
Note: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the International Application to pending status.												
					NES DAY 2	20583.						
25. All telephone inquiries should be made to (212) 326-9028.												
Samuel B. Abrams NAME	····	SIONAT	URE SOFT WAS	V	30,605 REG. NO.	* :		August 4, 2006 DATE	<u> </u>			